

Some do precision, some do mass  
production. We do both.  
We pioneer motion

# Process instruction on Prohibited and Declarable Substances S132030-1

11.01.2023, Version AC – Gerald Brandl, ST/SWE-CCCV

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## Agenda

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## Process instruction on Prohibited and Declarable Substances Standard S 132030-1

### Structure of S 132030-1

1. Standard with complete description
2. Appendix A
  - Declaration of Conformity
  - List of legal requirements
  - List of purchased products
  - List of substances
3. Appendix B
  - IMDS Guideline for Suppliers



The last version of this standard is valid since Jan. 11, 2023 and is now also available for suppliers on the Internet. Therefore, please use only the new version from now on.

This process instruction will focus on Annex A, because this is where most problems occur.

## Process instruction on Prohibited and Declarable Substances Standard S 132030-1

Within the framework of Quality Assurance Agreements (QAA), e.g.:

- QAA S 296001 Quality Assurance Agreement with Production Material Suppliers
- QAA S 296002 Quality Assurance Agreement with Tooling Suppliers
- QAA S 296003 Quality Assurance Agreement with Packaging Material Suppliers
- QAA S 296004 Quality Assurance Agreement with Aerospace-Suppliers

will be checked at Schaeffler according to S 132030-1 all

- Purchased products,
- Chemicals,
- Mixtures,
- Products,
- Raw materials and
- Packaging materials

## Process instruction on Prohibited and Declarable Substances Standard S 132030-1

if they contain

- prohibited,
- declarable or
- restricted substances.

Checking according to S 132030-1 shall ensure that

- employees are not exposed to critical substances.
- Schaeffler products do not contain any critical substances (or, if necessary, these can then be declared to Schaeffler customers – E. g. lead in brass-cages) and Schaeffler thus meets worldwide legal requirements.

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## Process instruction on Prohibited and Declarable Substances Standard S 132030-1

Short instruction for filling in the declaration of compliance, annex A of the substance prohibition standard S 132030-1



1. Select the applicable category A, B or C for the product: [See Internet entry](#)  
Prohibited and declarable substances, Applicable documents  
Note: Language can be changed on top of this side: DE or EN
2. Fill in data on the product: Top left in the declaration of conformity  
If the Declaration of Conformity is completed for more than one product, please enter "Table" here for Product and complete the separate "List of purchased products" spreadsheet.
3. Fill in data on the supplier: Top right in the declaration of conformity  
If the Schaeffler supplier number is not known, please contact Schaeffler Purchasing.  
Please do not overwrite the line Schaeffler signature (will be filled in by Schaeffler)!
4. Schaeffler status: Directly below the product data in the declaration of conformity  
Schaeffler Status will only be filled by Schaeffler.

## Process instruction on Prohibited and Declarable Substances Standard S 132030-1

Short instruction for filling in the declaration of compliance, annex A of the substance prohibition standard S 132030-1



5. Filling of the declaration of conformity: Directly below the category selection – Filling in the declaration of conformity: Depends on the respective [category A, B or C](#) for the product.
  - Point 1-3 must be completed for all products: [Category A, B and C](#)
    - Point 1, Schaeffler principal prohibitions
    - Point 2, Schaeffler substance restrictions
    - Point 3 (4 sub-points): REACH EU, POP Regulation, TSCA and Applicable Laws
  - Point 4 must be completed for all products that remain on Schaeffler sales products in the automotive sector: [Category A](#)
    - Point 4, ELV (End of Life Vehicles)
  - Point 5 must be completed for all products which remain on Schaeffler sales products in the automotive and non-automotive (industrial) sectors: [Category A](#)
    - Point 5, GADSL (Global Automotive Declarable Substance List)
  - Point 6 must be completed for all products that remain on Schaeffler sales products in the non-automotive (industry) sector: [Category A](#)
    - Point 6, RoHS (Restriction of Certain Hazardous Substances)



## Process instruction on Prohibited and Declarable Substances Standard S 132030-1

Short instruction for filling in the declaration of compliance, annex A of the substance prohibition standard S 132030-1



5. Filling in the declaration of conformity – Depends on the respective [category A, B or C](#) for the product.
  - Point 7 must be completed for all chemicals and mixtures that remain in or on Schaeffler sales products: [Category A](#) or which do not remain in or on Schaeffler sales products: [Category B](#)
    - Point 7, Further requirements for chemicals and mixtures
  - Point 8 must be completed for all packaging materials supplied to Schaeffler customers: [Category C](#)
    - Point 8, Further specifications for packaging materials used by Schaeffler for packaging and delivered to the customer.
  - Point 9 must be completed for all purchased products that remain on Schaeffler sales products in the Railway division. [Category A](#)
    - Point 9, Rail Industry Substance List (RISL) by UNIFE (Union of European Railway Industry)
  - Point 10 must be completed for all purchased products that remain on Schaeffler sales products in the Aerospace division. [Category A](#)
    - Point 10, Aerospace and Defense Declarable Substances List (AD-DSL), which is created and maintained by International Aerospace Environment Group (IAEG)

## Process instruction on Prohibited and Declarable Substances Standard S 132030-1

### Short instruction for filling in the declaration of compliance, annex A of the substance prohibition standard S 132030-1

6. Declaration in Schaeffler format – Alternative to IMDS: Directly below the declaration of conformity block
  - If substances have to be declared, they must be entered at this point:  
Substance name, CAS number, concentration and, if applicable, remarks (lead is given as an example).  
This input is not required at this point if a declaration is made via IMDS.
7. List of substances: Separate spreadsheet
  - Here are listed prohibited and declarable substances which must be complied with by the product.
  - In addition, the requirements listed apply (e. g. REACH EU, GADSL, RoHS...).
  - From now on all PFAS (Per- and Polyfluorinated Alkyl Substances) must be declared.



## Process instruction on Prohibited and Declarable Substances Standard S 132030-1

Short instruction for filling in the declaration of compliance, annex A of the substance prohibition standard S 132030-1

### 8. List of legal requirements: Separate spreadsheet

- Here the supplier must enter which laws his product complies with.  
Column A: The law concerned e. g. RoHS, Column B: The corresponding country law, Column C: The country for which this law applies, Column D: Here must be filled whether this law is valid for the product (yes/no), each line must be filled with yes or no!
  - If yes, at least one of the following columns must be filled!
  - If no, no further columns need to be filled.
- Note: If the product does not comply with any laws (i. e. no entries or everywhere “no”), the product will no longer be released!

### 9. List of purchased products: Separate spreadsheet

- This spreadsheet must be completed if the declaration of conformity applies to more than one product at the same time.
- Some entries from the declaration of conformity spreadsheet are automatically transferred to this spreadsheet; the missing data must be entered.

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- 3.1 Information about product
- 3.2 Information about supplier
- 3.3 Valuation by Schaeffler
- 3.4 Selection of category A, B, A and B or C
- 3.5 Completing the Conformity Declaration
- 3.6 Schaeffler reporting format
- 3.7 Examples

## Process instruction on Prohibited and Declarable Substances Standard S 132030-1

First an overview of the declarations of conformity A, B and C, and their selection:

### Declarations of Conformity A, B, C and Selection of Declaration of Conformity

[See: Prohibited and declarable substances, Applicable documents](#)

- The process instruction goes through the declarations of conformity step by step, because there were already problems with the old version when filling them in correctly. The selection of the categories and the forms of the declarations of conformity are designed differently and material compliance requirements are partly supplemented.
- The supplier must fill in the corresponding declaration of conformity completely and correctly!
- At the right side of the Conformity Declaration we added an explanation (red colored), that helps the suppliers to fill the Conformity Declaration correctly.
- We need the filled Conformity Declaration from the supplier in Excel and PDF format!
  - Excel: Material Compliance must evaluate the Conformity Declaration and must document this evaluation (e. g. for audit).
  - PDF: To avoid changes of the original document of the supplier (e. g. with signature of the supplier with or without stamp and afterwards scanned).

Extract from S 132030-1, page 3, 4 Supplier information, list of purchased products: Because of the large number of its suppliers, Schaeffler must rely on an automatic evaluation therefore the completely filled in, signed and scanned supplier's conformity declaration must always be returned to Schaeffler as a PDF document together with the completed list of legal and official requirements. In addition, the completed Excel document should also be returned.

Supplier information can only be accepted if at least the "Basic information" and "Declaration of conformity" zones in the Excel template are completed fully and correctly, and if the document is duly signed.

Process instruction on Prohibited and Declarable Substances Standard S 132030-1

|  |                             |                                 |   |
|--|-----------------------------|---------------------------------|---|
| Information about product  |                             |                                 |   |
| Information for a single product (complete as far as possible).<br>If this conformity declaration is valid for a few products, please fill the complete information in table "List of purchased products" (see enclosure 4). Fill in here: "table" |                             |                                 | Usually filled in for a single product. If the information applies to several of the supplier's products, then enter "Table" under "Product designation" and fill in the "List of purchased products" (separate spreadsheet). |
| Supplier   | Name of the product         |                                 | Description of the delivered product – (E. g. taken from the Material Safety Data Sheet (MSDS) for chemicals and mixtures)  |
|  | Material number             |                                 | Material number of the supplier   |
| Schaeffler   | Name of the product         | <i>Filled in by Schaeffler!</i> | Schaeffler designation of the delivered product – Could be different from the supplier  |
|  | Material number (13 digits) |                                 | Schaeffler SAP number (13 digits: xxxxxxxx-0000)  |
| IMDS-ID-No.  | <i>(if existing)</i>        |                                 | Applies to products contained in automotive parts (International Material Data System)  |

Process instruction on Prohibited and Declarable Substances Standard S 132030-1

|  |           |  |
|--|-----------|--|
| Information about supplier                   |           |  |
| Supplier/Company                             |           |  |
| Schaeffler-ID-number                         |           |  |
| Contact person                               | Name      |  |
|  | Email     |  |
|  | Phone-No. |  |
| Conformity declaration drawn by              | Name      |  |
|  | Date      |  |
| Signature<br><i>(Stamp where applicable)</i> |           |  |

e. g. information from the safety data sheet (MSDS)

Every supplier listed with Schaeffler has a Schaeffler ID number (from the purchasing department); if the supplier is actually not listed, he must fill in here none.

Contact person at the supplier

Email address of the contact person at the supplier

Telephone number of the contact person at the supplier

Name of the person at the supplier who made the Declaration of Conformity and when (date)

Signature of the person at the supplier who issued the Declaration of Conformity (and company stamp, if applicable); Electronic signature is also possible! For PDF-document: Signature and afterwards scanning!

Process instruction on Prohibited and Declarable Substances Standard S 132030-1

|  |  |  |  |  |
|--|--|--|--|--|
| Schaeffler Status<br>(assessed by Material Compliance) | Date   |  | Schaeffler<br>Signature<br>(electronic signature where applicable) | <i>Please leave blank!</i><br><i>Is only filled by Schaeffler!</i><br><i>Do not overwrite e. g. with signature or stamp of the supplier!</i> |
|  | Name   |  |  |  |
|  | Status<br><i>(in assessment / released / not released)</i> |  |  |  |

The department Material Compliance of Schaeffler assesses whether the declaration of conformity is ok or not.

Date of assessment:  
 Name of the person at MC who sets the status:

- Empty = Determination is still ongoing
- Released = Declaration of Conformity i. O.
- Not released = Eclaration of Conformity n. i. O.

Signature of the person from the Material Compliance area who carried out the assessment, electronic signature is also permissible.



## Process instruction on Prohibited and Declarable Substances Standard S 132030-1

Selection of the corresponding Category for the Conformity declaration: [See also Internet entry](#)

1. **Category A** must be selected for purchased products, which remain in oder on saleable Schaeffler products.
2. **Category B** must be selected for purchased products, which are used in manufacturing processes and maintenance, but do not remain in saleable Schaeffler products.
3. **Category C** must be selected for purchased products, which are used as packaging for Schaeffler sales products and are delivered to the customer.

If necessary, the corresponding category must be clarified with the Schaeffler contact (e. g. local purchasing, substance manager or application technology).

If categories A and B apply to a purchased product (e. g. corrosion protection oil is used as an intermediate preservative and as a final preservative), then the higher-value category (**category A** in the example) must be selected.

If categories A and C apply to a purchased product (e. g. VCI paper is used as intermediate and final packaging with corrosion protection), then the higher-value category (**category A** in the example) must be selected.

If categories B and C apply to a purchased product (e. g. VCI paper is used as intermediate packaging with corrosion protection), then the higher-value category (**category B** in the example) must be selected.

Process instruction on Prohibited and Declarable Substances Standard S 132030-1

Valid for **Category A, B and C**

| No. | Requi-<br>rements<br>apply to             | Material Compliance (MC)<br>Requirements  | Is the requirement<br>valid for the<br>purchased product?<br><i>yes/no</i> | Is the purchased<br>product<br>compliant?<br><i>yes/no</i> | Declaration<br>IMDS, Schaeffler-<br>Format or no<br>declarable substances |
|-----|---|---|--|--|---|
| 1   | All<br>purchased<br>products<br>worldwide | Schaeffler generally prohibited substances,<br><ul style="list-style-type: none"> <li>• CLP Directive (EC) No. 1272/2008</li> <li>• United States H.R. 4173 – “Conflict Materials”<br/>resp. “Dodd-Frank-Act”: Section 1502 of H.R. 4173</li> </ul> | Yes  |  |   |
| 2   |   | Schaeffler Stoffbeschränkungen,<br><ul style="list-style-type: none"> <li>• CLP Verordnung (EG) Nr. 1272/2008</li> <li>• United States H.R. 4173 – “Conflict Minerals” bzw. “Dodd-Frank-Act”:<br/>Section 1502 of H.R. 4173</li> </ul>              | Yes  |  |   |

Both requirements (No. 1 and No. 2) are valid for all purchased products

For No. 1 and No. 2 „yes“ must be chosen (*dropdown*)

Choose „yes“ or „no“ (*dropdown*)

IMDS, Schaeffler-Format or No declarable substances (*dropdown*)

Process instruction on Prohibited and Declarable Substances Standard S 132030-1

Valid for **Category A, B and C**

| No. | Requi-<br>rements<br>apply to             | Material Compliance (MC)<br>Requirements  | Is the requirement<br>valid for the<br>purchased product?<br><i>yes/no</i> | Is the purchased<br>product<br>compliant?<br><i>yes/no</i> | Declaration<br>IMDS, Schaeffler-<br>Format or no<br>declarable substances |
|-----|---|---|--|--|---|
| 3   | All<br>purchased<br>products<br>worldwide | 3.1 REACH Regulation (EU) Nr. 1907/2006   | Yes  |  |   |
|     |   | 3.2 POP Regulation (EU) No. 2019/1021 on persistent organic pollutants  | Yes  |  |   |
|     |   | 3.3 Toxic Substances Control Act of 1976 (TSCA)<br>All chemicals, mixtures, materials and articles supplied to and by Schaeffler must satisfy applicable chemical laws, rules, regulations and recommendations valid in the country of usage (e.g. REACH, CLP, TSCA). Therefore, Schaeffler requests for your full cooperation in Schaeffler's efforts to legally comply with (applicable) Global Chemical regulations related but not limited to chemicals Registration, Notification, Restriction, Prohibition, Hazard Classification, Labeling, Packaging. | Yes  |  |   |
|     |   | Select confirm applicable laws<br><i>See Appendix A "List of legal requirements".</i><br><ul style="list-style-type: none"> <li>Complete the list to the best of your knowledge</li> <li>If laws do not apply, enter no in column "D"</li> <li>If laws are not known, enter X in column "F"</li> </ul>  | Yes  |  |   |

Requirements No. 3 is valid for all purchased products

For No. 3 „yes“ must be chosen (*dropdown*)

Choose „yes“ or „no“ (*dropdown*)

IMDS, Schaeffler-Format or No declarable substances (*dropdown*)

Process instruction on Prohibited and Declarable Substances Standard S 132030-1

Only valid for **Category A**: Purchased products, which remain in or on saleable Schaeffler products.

| No. | Requirements apply to                          | Material Compliance (MC) Requirements            | Is the requirement valid for the purchased product?<br><i>yes/no</i> | Is the purchased product compliant?<br><i>yes/no</i> | Declaration<br>IMDS, Schaeffler-Format or no declarable substances |
|-----|--|--|--|--|--|
| 4   | All purchased products for Automotive industry | ELV Directive 2000/53/EG on End-of-Life Vehicles |  |  |  |

Must be filled in for all products used in the automotive industry.  
ELV (End of Life Vehicles)

Choose „yes“ or „no“  
(dropdown)

Choose „yes“ or „no“  
(dropdown)

IMDS, Schaeffler-Format or No declarable substances (dropdown)

Process instruction on Prohibited and Declarable Substances Standard S 132030-1

Only valid for **Category A**

| No. | Requirements apply to   | Material Compliance (MC) Requirements   | Is the requirement valid for the purchased product?<br><i>yes/no</i> | Is the purchased product compliant?<br><i>yes/no</i> | Declaration<br>IMDS, Schaeffler-Format or no declarable substances |
|-----|---|---|--|--|--|
| 5   | All purchased products for Automotive and Non-Automotive industry | Requirements of GADSL (Global Automotive Declarable Substance List), GADSL should also be confirmed for all purchased parts for Non-Automotive industry |  |  |  |

Must be filled in for all products used in the automotive industry. It should also be confirmed for all products in the non-automotive Industry.

Choose „yes“ or „no“ (dropdown)

Choose „yes“ or „no“ (dropdown)

IMDS, Schaeffler-Format or No declarable substances (dropdown)

Process instruction on Prohibited and Declarable Substances Standard S 132030-1

Only valid for **Category A**

| No. | Requirements apply to                               | Material Compliance (MC) Requirements | Is the requirement valid for the purchased product?<br><i>yes/no</i> | Is the purchased product compliant?<br><i>yes/no</i> | Declaration<br>IMDS, Schaeffler-Format or no declarable substances |
|-----|---|---------------------------------------|--|--|--|
| 6   | Alle purchased products for Non-Automotive industry | RoHS Directive 2011/65/EU             |  |  |  |

Must be filled in for all products that are not used in the automotive industry.

Choose „yes“ or „no“ (dropdown)

Choose „yes“ or „no“ (dropdown)

IMDS, Schaeffler-Format or No declarable substances (dropdown)

Process instruction on Prohibited and Declarable Substances Standard S 132030-1

Valid for **Category A** and **Category B**: Purchased products, which are used in production processes and maintenance, but do not remain in in saleable Schaeffler products.

| No. | Requirements apply to      | Material Compliance (MC) Requirements  | Is the requirement valid for the purchased product?<br><i>yes/no</i> | Is the purchased product compliant?<br><i>yes/no</i> | Declaration<br>IMDS, Schaeffler-Format or no declarable substances |
|-----|----------------------------|--|--|--|--|
| 7   | All chemicals and mixtures | Further specifications for chemicals and mixtures <ul style="list-style-type: none"> <li>• For use in manufacturing, maintenance and in Schaeffler products</li> <li>• Does not apply to semi-finished products &amp; products in general</li> </ul> |  |  |  |

Must be completed for chemicals and mixtures that remain in production, maintenance or on Schaeffler sales products.

Choose „yes“ or „no“ (dropdown)

Choose „yes“ or „no“ (dropdown)

IMDS, Schaeffler-Format or No declarable substances (dropdown)

Process instruction on Prohibited and Declarable Substances Standard S 132030-1

Only valid for **Category C**: All packaging materials for Schaeffler customers

| No. | Requirements apply to                             | Material Compliance (MC) Requirements  | Is the requirement valid for the purchased product?<br><i>yes/no</i> | Is the purchased product compliant?<br><i>yes/no</i> | Declaration<br>IMDS, Schaeffler-Format or no declarable substances |
|-----|---|--|--|--|--|
| 8   | All packaging materials, for Schaeffler customers | Further specifications for packaging materials supplied to Schaeffler customers<br>e.g. Packaging and Packaging Waste Directive 94/62/EC | Yes  |  |  |

Must be filled in for all packaging materials for Schaeffler customers. Exception is packaging that is returned to the supplier. Packaging must meet the legal requirements in the respective countries so that it can be disposed of there.

Choose „yes“ or „no“ (dropdown)

Choose „yes“ or „no“ (dropdown)

IMDS, Schaeffler-Format, No declarable substances or Return to supplier (dropdown)



Process instruction on Prohibited and Declarable Substances Standard S 132030-1

Only valid for **Category A**

| No. | Requirements apply to              | Material Compliance (MC) Requirements   | Is the requirement valid for the purchased product?<br><i>yes/no</i> | Is the purchased product compliant?<br><i>yes/no</i> | Declaration<br>IMDS, Schaeffler-Format or no declarable substances |
|-----|------------------------------------|---|--|--|--|
| 9   | All purchased products for Railway | Rail Industry Substance List (RISL) by UNIFE (Union of European Railway Industry) |  |  |  |

Must be completed for purchased products that remain in or on Schaeffler Railway sales products.

Choose „yes“ or „no“ (dropdown)

Choose „yes“ or „no“ (dropdown)

IMDS, Schaeffler-Format, No declarable substances or Return to supplier (dropdown)

Process instruction on Prohibited and Declarable Substances Standard S 132030-1

Only valid for **Category A**

| No. | Requirements apply to                | Material Compliance (MC) Requirements   | Is the requirement valid for the purchased product?<br><i>yes/no</i> | Is the purchased product compliant?<br><i>yes/no</i> | Declaration<br>IMDS, Schaeffler-Format or no declarable substances |
|-----|--------------------------------------|---|--|--|--|
| 10  | All purchased products for Aerospace | Aerospace and Defense Declarable Substances List (ADDSL), which is created and maintained by International Aerospace Environment Group (IAEG) |  |  |  |

Must be completed for purchased products that remain in or on Schaeffler Aerospace sales products.

Choose „yes“ or „no“ (dropdown)

Choose „yes“ or „no“ (dropdown)

IMDS, Schaeffler-Format, No declarable substances or Return to supplier (dropdown)

Process instruction on Prohibited and Declarable Substances Standard S 132030-1

| Declarable Substances<br>According to actual List of Substances (S 132030-1) | CAS Number<br>other Identification number<br>– Only if CAS number is not available | Quantity of<br>substance | Unit according to<br>List of Substances | Remarks*1              |
|--|--|--------------------------|---|------------------------|
| <i>Example</i> <i>Lead (Blei)</i>  | <i>7439-92-1</i>   | <i>e. g. 2,0</i>         | <i>% (w/w)</i>                          | <i>e. g. Brasscage</i> |
|  |  |                          |   |                        |

Indication of the ingredient(s) to be declared.  
In this example, lead, which is an ingredient in a brass cage.

Here in the example the CAS number of lead

Amount of the substance in the product.  
In the example 2,0

Unit of quantity; Usually % (w/w), but also ppm, mg/l, mol/l... is possible

Indication of the product in which the ingredient to be declared is contained.  
Only a (construction) part of the entire product may be affected (brasscage of a bearing).

## Process instruction on Prohibited and Declarable Substances Standard S 132030-1

| Declarable Substances<br>According to actual List of Substances (S 132030-1) | CAS Number<br>other Identification number<br>– Only if CAS number is not available | Quantity of<br>substance | Unit according to<br>List of Substances | Remarks*1   |
|--|--|--------------------------|---|---|
| <i>Example</i> <i>Lead (Blei)</i>  | <i>7439-92-1</i>   | <i>e. g. 2,0</i>         | <i>% (w/w)</i>                          | <i>e. g. Brasscage</i>  |
| <i>Methanol</i>  | <i>67-56-1</i>   | <i>100</i>               | <i>% (w/w)</i>                          | <i>Prohibited substance,<br/>only released as carburising<br/>substance for use in heat<br/>treatment</i> |

\*1 = Example for prohibited substance; Reason; Affected material is present in the product; RoHS or ELV exceptions; For chemicals and mixtures; Analytical method;

- Information is required here if no alternative such as IMDS or parts list declaration is available.
- Here is the possibility to document,
  - why a prohibited or declarable substance is nevertheless released and
  - for which application the release applies.
- Here supplier can specify the analytical method used to determine the substance and the amount of substance, especially if there are various methods of analysis for this substance.

Process instruction on Prohibited and Declarable Substances Standard S 132030-1

Example of Conformity Declaration  
**Category A** for a purchased part  
for a saleable Schaeffler product  
– *Valid for EU and US*

Select Example of Conformity Declaration  
**Category A**,  
Spreadsheet: Declaration of Conformity Cat. A  
[Sustainability | Schaeffler Germany](#)  
*See Prohibited and declarable substances,  
Training documents and Examples,  
Example Category A*

Example of Conformity Declaration  
**Category B** for a mixture for  
production process  
– *Valid for EU and US*

Select Example of Conformity Declaration  
**Category B**,  
Spreadsheet: Declaration of Conformity Cat. B  
[Sustainability | Schaeffler Germany](#)  
*See Prohibited and declarable substances,  
Training documents and Examples,  
Example Category B*

Example of Conformity Declaration  
**Category C** for a packaging  
material for Schaeffler customers  
– *Valid for EU and US*

Select Example of Conformity Declaration  
**Category C**,  
Spreadsheet: Declaration of Conformity Cat. C  
[Sustainability | Schaeffler Germany](#)  
*See Prohibited and declarable substances,  
Training documents and Examples,  
Example Category C*



Please note that in the case of preserved (metal-)purchased parts, the preservation must also be included in the declaration of conformity! Critical substances in the corrosion protection oil must be declared and avoided as far as possible (e. g. Ba)!

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Process instruction on Prohibited and Declarable Substances Standard S 132030-1

| Issue | List of legal and official requirements<br><i>(the listed regulations are accompanied by their amending regulations and annexes, at the time of comment); declarations of conformity apply to the main regulation, its amending regulations and annexes</i> | Country | Validity<br><i>(see drop-down menu)</i> | Compliant<br><i>(see drop-down menu)</i> | Not known | Comment |
|-------|---|---------|---|--|-----------|---------|
| RoHS  | <i>Directive 2011/65/EU of the European Parliament and of the Council of 8 June 2011 on the restriction of the use of certain hazardous substances in electrical and electronic equipment</i>   | EU      | 0                                       | 0  |           |         |

Abbreviation for the Directive

Exact name and edition of the directive;  
RoHS = Restriction of Certain Hazardous Substances;  
It applies to electrical and electronic equipment;  
The above-mentioned directive applies to Europe (EU)  
When a new edition of the guideline is published, it will be updated here.

Valid for EU (Europe)

Does this directive apply to the product?  
yes/no

Does this product comply with this directive?  
yes/no  
– only if this Directive is valid for the product

Tick if it is not known whether the Directive is valid for this product

Comments for the product with regard to this Directive

The 0 in a field means that the entry is automatically taken over by the declaration of conformity.

Process instruction on Prohibited and Declarable Substances Standard S 132030-1

Country specific guidelines on RoHS

| Issue | Comments for the product with regard to this Directive List of legal and official requirements<br>(the listed regulations are accompanied by their amending regulations and annexes, at the time of comment);<br>declarations of conformity apply to the main regulation, its amending regulations and annexes | Country |
|-------|--|---------|
| RoHS  | Directive 2011/65/EU of the European Parliament and of the Council of 8 June 2011 on the restriction of the use of certain hazardous substances in electrical and electronic equipment   | EU      |
|       | Act for Resource Recycling of Electrical and Electronic Equipment and Vehicles; Act No. 6319 –Resource Recycling   | KR      |
|       | MIIT Order 32 together with GB/T 26572   | CN      |
|       | e-waste (Management and Handling) Rules 2011 (India RoHS)  | IN      |
|       | Electrical and electronic equipment that may contain hazardous substances: Restriction of the use of certain hazardous substances; TIS 2368-2551; 26.05.2008.  | AP      |
|       | Act on the Promotion of Effective Utilization of Resources; 26.04.1991   | AP      |
|       | Circular 30/2011/TT-BCT  | VN      |
|       | Atık Elektrikli ve Elektronik Eşyaların (AEEE) Kontrolü Yönetmeliği (Turkish WEEE and RoHS-style Directives); 22.05.2012.  | TR      |
|       | Law for Promotion of Effective Utilization of Resources in Japan (Japanese Recycling Law) of 2001 and the mandatory industry standard JIS C 0950 of 2008   | JP      |
|       | EAEU TR 037/2016   | EAEU    |


Must be filled at least for the countries for which a release is requested.

The more laws the product complies with, the more widespread and secure Schaeffler products can be sold!



## Process instruction on Prohibited and Declarable Substances Standard S 132030-1

|   |   |   |
|---|---|---|
| <p>Example of product<br/><b>Category A</b><br/>– <i>Valid for EU and US</i></p> <p>Select Example of Conformity Declaration<br/><b>Category A</b><br/>(Spreadsheet: List of legal requirements)<br/><a href="#">Sustainability   Schaeffler Germany</a><br/><i>See Prohibited and declarable substances,<br/>Training documents and Examples,<br/>Example Category A</i></p> | <p>Example of product<br/><b>Category B</b><br/>– <i>Valid for EU and US</i></p> <p>Select Example of Conformity Declaration<br/><b>Category B</b><br/>(Spreadsheet: List of legal requirements)<br/><a href="#">Sustainability   Schaeffler Germany</a><br/><i>See Prohibited and declarable substances,<br/>Training documents and Examples,<br/>Example Category B</i></p> | <p>Example of product<br/><b>Category C</b><br/>– <i>Valid for EU and US</i></p> <p>Select Example of Conformity Declaration<br/><b>Category C</b><br/>(Spreadsheet: List of legal requirements)<br/><a href="#">Sustainability   Schaeffler Germany</a><br/><i>See Prohibited and declarable substances,<br/>Training documents and Examples,<br/>Example Category C</i></p> |
|---|---|---|

 Please note that in the case of preserved (metal-)purchased parts, the preservation must also be included in the declaration of conformity! Critical substances in the corrosion protection oil must be declared and avoided as far as possible (e. g. Ba)!

## Agenda

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The labelling of these 3 fields is automatically taken over from the declaration of conformity.

**List of purchased products**  
(supplement to the declaration of conformity)

| Supplier/Company                |                           | 0  | S 132030-1 – Actual version             |                                |                       |
|---------------------------------|---------------------------|--|---|--------------------------------|-----------------------|
| Conformity Declaration created: | Name                      | 0  |   |                                |                       |
|                                 | Date                      | 0  |   |                                |                       |
| Seq. No.                        | Suppliers Material number | Schaeffler Material number (SAP 13-digits) | Material / Designation / Drawing number | IMDS ID-Number (if applicable) | Note / Contact person |
|                                 |                           |  |   |                                |                       |
|                                 |                           |  |   |                                |                       |

Continuing numbering of the products

Material number of the product of the supplier

Schaeffler-Material number, 13-digits SAP-number

Product designation and Drawing number, if applicable

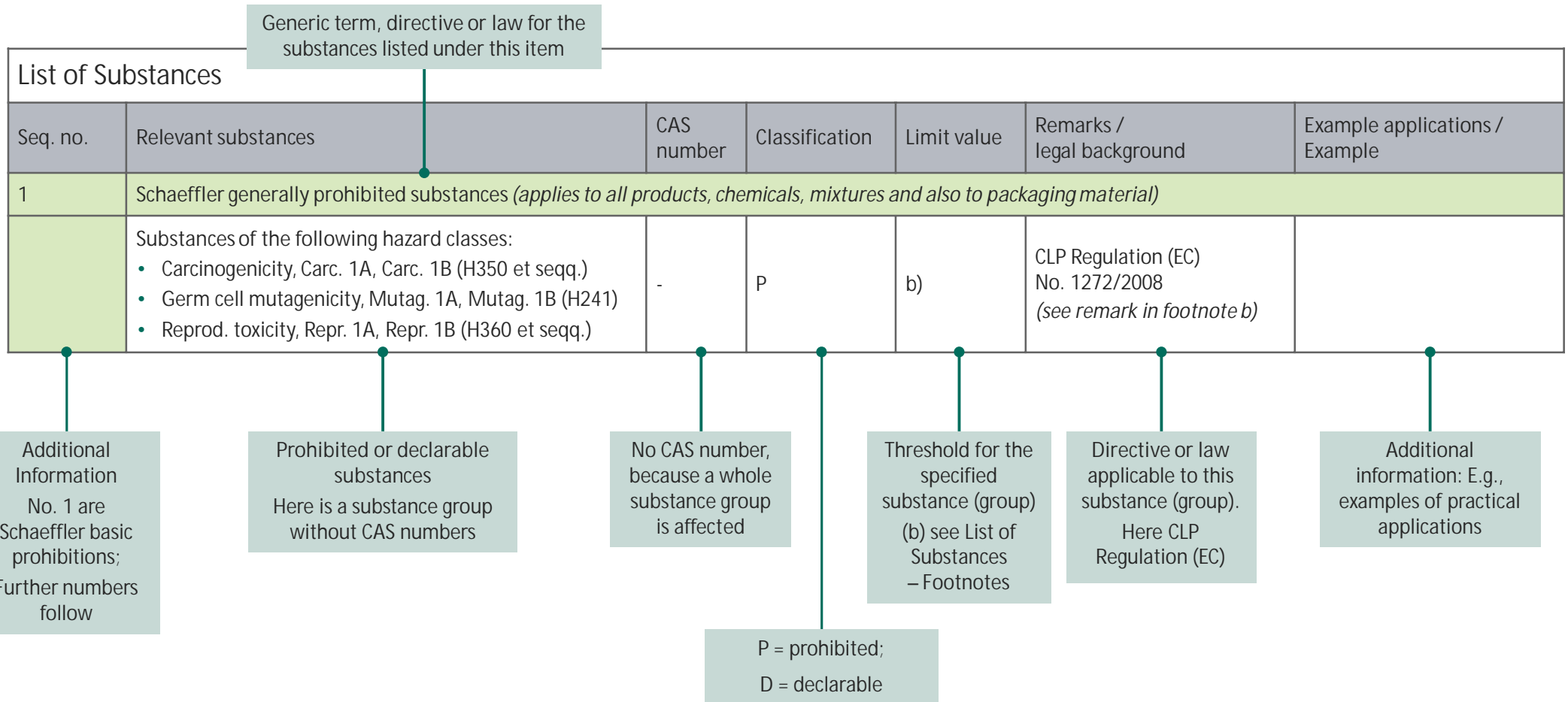
Valid only for Automotive products

Additional Information

## Agenda

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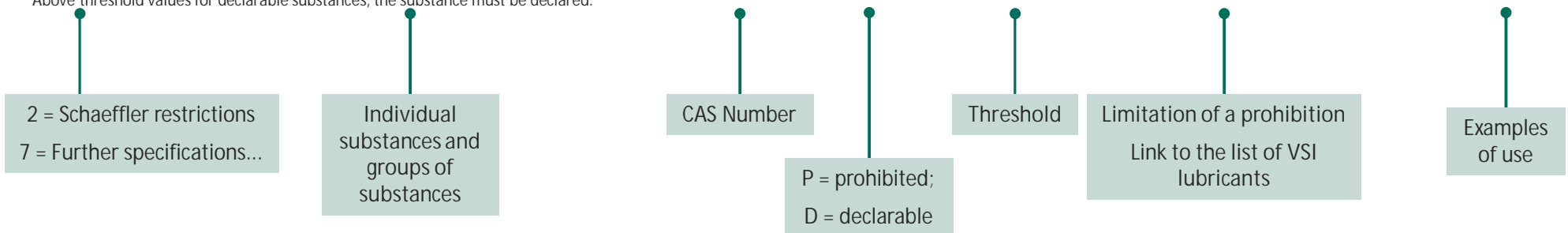
Process instruction on Prohibited and Declarable Substances Standard S 132030-1



Process instruction on Prohibited and Declarable Substances Standard S 132030-1

| List of Substances |  |            |                |               |  |  |
|--------------------|--|------------|----------------|---------------|--|--|
| Seq. no.           | Relevant substances                                  | CAS number | Classification | Limit value   | Remarks / legal background               | Example applications / Example                                 |
| 2                  | all PFAS (Per- and Polyfluorinated Alkyl Substances) | -          | D              | > Impurity *) | <b>new!</b>                              | E. g. plastics, membranes, coatings, sealant, greases and oils |
| 7                  | Copper and its compounds                             | -          | P              | 10 ppm        | Prohibition only for metalworking fluids | In addition, the VKIS-VSI-IGM-BGHM substance list applies      |
| 7                  | Benzotriazole  | 95-14-7    | D              | a)            | Allergic potential                       | E.g., cooling lubricants, anti-corrosion agents                |

\*) > impurity means here that the substance must be declared if it is more than an impurity (<< 0,1 % or << threshold) or if it has been added intentionally. Above threshold values for declarable substances, the substance must be declared.



## Agenda

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## Process instruction on Prohibited and Declarable Substances Standard S 132030-1

### Basic Information

- All purchased products, chemicals and mixtures must meet the substance prohibition standard S132030-1!
- Therefore, all our suppliers must accept the substance prohibition standard S132030-1.
- Prohibited substances (P) are generally prohibited  $\geq 0.1\%$  or above the applicable threshold.
- Prohibited substances (P) must always be declared  $< 0.1\%$  or below the applicable threshold (D)!

### Exemption

- In exemptional cases, prohibited substances may be released for specific purposes: E. g. methanol for heat treatment (carburisation process).
- Exemptions must always be documented in writing. No format is provided for this. For example, an email or an entry in the Declaration of Conformity is sufficient.



## Agenda

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## Process instruction on Prohibited and Declarable Substances Standard S 132030-1

### 1. Where can the supplier find the standard S 132030-1?

Under: <https://www.schaeffler.de/content.schaeffler.de/en/schaeffler-germany/purchasing-and-supplier-management/sustainability/index.jsp>

### 2. Contact persons at Schaeffler

- Responsible Schaeffler Purchasing for: Schaeffler supplier number and formal filling out of declaration of conformity according to S 132030-1.
- Application engineering at Schaeffler for: Application of the supplied product at Schaeffler – Selection of the category (Requestor)
- Material Compliance at Schaeffler for:
  - Technical questions regarding standard S 132030-1, [MaterialCompliance@schaeffler.com](mailto:MaterialCompliance@schaeffler.com)
  - IMDS reporting (Appendix B), [IMDS@schaeffler.com](mailto:IMDS@schaeffler.com)

### 3. MSDS (Material Safety Data Sheet)

MSDS must not be older than 2 years.

### 4. Does the supplier have to fill out the declaration of conformity according to S 132030-1 if he already makes an IMDS entry?

Yes, because this confirms the entire S 132030-1 and, above all, also communicates the legal requirements that the product fulfills.

## Process instruction on Prohibited and Declarable Substances Standard S 132030-1

### 5. Who receives the declaration of conformity according to S 132030-1 at Schaeffler?

Responsible Schaeffler Purchasing

### 6. Products for new developments

In order to avoid undesirable developments and to prevent the corresponding costs from arising in the first place, it must be ensured right from the start of a new development that the product meets the requirements of S 132030-1! – Schaeffler basic requirement!

### 7. Who, when and in which form must the supplier inform when the declaration for his product changes (e. g. new legal requirements – Thresholds)?

After 45 days at the latest, the supplier must inform:

- The responsible Purchasing at Schaeffler and
- Department Schaeffler Material Compliance, [MaterialCompliance@schaeffler.com](mailto:MaterialCompliance@schaeffler.com)
- In the form of a new declaration of conformity for the product according to S 132030-1 (Excel and PDF format), Annex A and/or IMDS declaration.

### 8. Which Version of the Standard must be applied?

Always apply the current version of S 132030-1, which is available on the Internet, currently version 2022.

<https://www.schaeffler.de/content.schaeffler.de/en/schaeffler-germany/purchasing-and-supplier-management/sustainability/index.jsp>

We pioneer motion